

# Deutsche Bank (China) Co., Ltd Service Fees



Deutsche Bank (China) Co. Ltd/Global Markets Department-Tariff

Deutsche Bank (China) Co., Ltd  
Global Markets

Ref. No.	Charges Items	Charges Standard	Charges Policies	Preferential Policies
1	<b>Syndicated Loan</b>			
1.1	Syndicated Loan Consulting Fee	When organizing syndicated loan or club loan, due to different industry structure and particularity of borrower's project development, borrower would usually appoint one bank to provide consulting services.	Max. not exceeding 10% of contractual loan limit	Based on domestic and international market price /convention No
1.2	Syndicated Loan Arrangement Fee	By sending invitation letter, hosting syndicate meetings etc., bank invites potential participation banks to join. Arrangement fee will be paid in a certain proportion of final loan amount.	Max. not exceeding 10% of contractual loan limit	Based on domestic and international market price /convention No
1.3	Limit Cancellation Fee	If borrower breaches the contract and does not withdraw the loan, bank will incur losses from funding cost of the cancelled part.	Max. not exceeding 10% of limit of cancelled part	Based on domestic and international market price /convention No
1.4	Syndicated Loan Commitment Fee	If borrower does not withdraw the loan, or fails to reach the committed loan amount, bank will incur losses from funding cost of the cancelled part.	Max. not exceeding 10% of outstanding drawing limit	Based on domestic and international market price /convention No
1.5	Syndicated Loan Participation Fee	Participating bank will be charged participation fee from lead arranger bank.	Negotiate with lead arranger bank, and accord with laws and regulations	Based on domestic and international market price /convention No
1.6	Breakfunding Cost Fee	If any loan is not repaid on the scheduled repayment date, the borrower shall indemnify the bank for the breakfunding cost arising therefrom.	Max. not exceeding 10% of advance repayment	Based on domestic and international market price /convention No
1.7	Services Termination Fee	1. After client signs the mandate letter for financing arrangement but before the signature of facility agreement, bank has begun to arrange, but client decides to cancel financing arrangement or breach the regulation in the mandate letter and search for similar financing plans from other banks due to their own reasons; 2. Penalty for financing plan and financial model designed after client confirmed the financing plan and before cancellation of business demanding. Here won't be other charges on conditions above.	Max. not exceeding 10% of proposed financing amount	Based on domestic and international market price /convention No
1.8	Extension Arrangement Fee	Loan could be extended if requested by borrower and approved by loan bank.	Max. not exceeding 10% of extension limit	Based on domestic and international market price /convention No
1.9	Immunity Fee	If borrower or obligor raises the immunity application against breaching, modifying or changing committed clauses or other regulation mentioned in syndicated loan documents, loan bank will approve it.	Max. not exceeding 10% of contractual loan limit	Based on domestic and international market price /convention No
1.10	Interest Penalty	Interest charged from the overdue fund if client is unable to repay any due amount under any clauses in the document, or embezzle the loan against those agreements in financing documents	Max. 20% p.a. of contractual loan limit	Based on domestic and international market price /convention No
1.11	Credit Supervision Fee	Credit supervision provided based on facility agreement	Max. 10% p.a. of contractual loan limit	Based on domestic and international market price /convention No
2	<b>Structured Finance, Ordinary Loan and Financing Related Credit Facility and Services other than Syndicated Loan</b>			
2.1	Consulting Fee	Consulting services about financing for funding demander or provider	Max. not exceeding 10% of financing amount	Based on domestic and international market price /convention No
2.2	Loan Commitment Fee	Confirmation from bank to provide committed loan and provision for client withdrawal	Max. not exceeding 10% of outstanding drawing limit	Based on domestic and international market price /convention No
2.3	Financing Plan Arrangement Fee/Design Fee/Modification Fee	<b>Arrangement and design for financing plan:</b> 1. Design financing plan, and/or open domestic and overseas financing channels. 2. Further analyze and understand clients' business structure and industry specialty, design financing plan based on client's request. 3. Design repayment schedule. 4. Combine clients' actual situation and characters, consider the guaranty conditions according to their financing demand and cost. <b>Modification on financing plan:</b> After completing the designing and signing process of the financing plan, if client could not fulfill some clauses in the contract or need to modify structure or clauses due to some specific reasons, DB will adjust and modify the clauses according to client's request and specific situations.	Max. not exceeding 10% of contractual loan limit	Based on domestic and international market price /convention No
2.4	Breakfunding Cost Fee	If any loan is not repaid on the scheduled repayment date, the borrower shall indemnify the bank for the breakfunding cost arising therefrom.	Max. not exceeding 10% of advance repayment	Based on domestic and international market price /convention No
2.5	Extension Arrangement Fee for Structured Financing	Structured Financing Loan could be extended if requested by borrower and approved by loan bank.	Max. not exceeding 10% of extension limit	Based on domestic and international market price /convention No
2.6	Immunity Fee	After completing the process of designing financing plan, if client or other person concerned raises the immunity application against breaching, modifying or changing committed clauses or other regulation in financing documents, DB will conduct immunity and proceed immunity activities in financing.	Max. not exceeding 10% of contractual loan limit	Based on domestic and international market price /convention No
2.7	SBLC's Issuance, Modification and Extension	Depend on client's credit rate and tenor or specific modification and request for extension	Max. 10% p.a. of SBLC amount	Based on domestic and international market price /convention No
2.8	Interest Penalty	Interest will be charged from the overdue fund if client is unable to repay any due amount under any clauses in the document, or violate those agreements in financing documents to embezzle the loan	Max. 20% p.a. of contractual loan limit	Based on domestic and international market price /convention No
2.9	Credit Supervision Fee	Credit supervision provided based on facility agreement	Max. 10% p.a. of contractual loan limit	Based on domestic and international market price /convention No
2.10	Overseas Financing Services Fee for Domestic Enterprises	Consulting and arrangement services provided for domestic enterprises for them to get loan financing from DB overseas branches.	Max. not exceeding 10% of enterprise credit limit	Based on domestic and international market price /convention No
2.11	Domestic or Overseas Loan Financing Services Fee for Overseas Enterprises	Consulting and arrangement services provided for overseas enterprises for them to get loan financing from domestic and overseas institutions.	Max. not exceeding 10% of enterprise credit limit	Based on domestic and international market price /convention No
3	<b>Debt Capital Markets</b>			
3.1	Bond Underwriting Fee Income	Fee income related to bond underwriting activities. This includes the sub-underwriting fee, issuance fee, distribution fee or sales commission etc which the issuer or underwriter agrees to pay under the bond underwriting scheme. It excludes the miscellaneous expenses paid on behalf of clients and reimbursable by clients.	Max. not exceeding 10% of total issuance amount. Fee schedule will be stipulated in the pre-agreed contract.	Based on domestic and international market price /convention No
3.2	Financial Consulting Fee	Financial consulting service provided on fund raising activities. Type of service and business scope will be documented in the written contract. It excludes the miscellaneous expenses paid on behalf of clients and reimbursable by clients	Max. not exceeding 10% of total issuance amount	Based on domestic and international market price /convention No
4	<b>Other Services</b>	Value added services for corporate clients are priced based on service details and type as stipulated in the mutually agreed contract. Maximum not exceeding 1% of total transaction amount.		Based on domestic and international market price /convention No

Remark: 1. Updated on 5 Dec 2018. Please refer to "Update Disclaimer" for detailed effective date for those changes. Deutsche Bank (China) Co., Ltd. reserves the final interpretation right.

Deutsche Bank (China ) Co. Ltd/Global Transaction Banking Department-Tariff

Deutsche Bank (China) Co., Ltd  
Global Transaction Banking

Ref No.	Fees Items	Charges Standard			Charges Rationale / Preferential Policies
1	<b>Cash Management Services</b>				
1.1	<b>Account Services (Foreign Currency Accounts)</b>				
1.1.1.1	A. Account Maintenance	Account Opening Fee	Handeling fee	RMB2000	<b>Charges regulated by the market:</b>  This tariff is formulated by the Head Quarter of Deutsche Bank China, and only applicable to clients of GTB  (Fees are charged per basis on management and running cost)
1.1.1.2.1		Account Maintenance Fee	Monthly Average Balance below USD10,000.- (or equivalent)	RMB2000 (monthly charge)	
1.1.1.2.2			Monthly Average Balance USD10,000.- (or equivalent) or above	RMB2000 (monthly charge)	
1.1.1.3		Account Audit	Handeling fee	No more than RMB1000 per account	
1.1.1.4.1		Fax of Monthly Statement	Local	RMB50 per statement	
1.1.1.4.2			Overseas	RMB200 per statement	
1.1.1.5.1		Standing instruction	Set-up / Amendment	RMB5000	
1.1.1.5.2			Transaction Fee	per actual	
1.1.1.6		Alternation of Signature List	Handeling fee	RMB500 or equivalent	
1.1.1.7		Dormant Account Charge	Service fee	RMB1000 per account per month or equivalent	
1.1.1.8.1		Monthly Billing	Setup Fee	RMB2000 per account or equivalent	
1.1.1.8.2			Monthly Maintenance and Support	RMB2000 per month per account or equivalent	
1.1.1.9		Tailor-made Account Report	Additional Advices/Statements	Equivalent to RMB1000 per account or RMB2000 per account per month	
1.1.1.10.1		EMS Delivery for Bank Advice/Statement	Domestic (weekly delivery)	RMB500 per month per account or equivalent	
1.1.1.10.2			Domestic (daily delivery)	RMB2000 per month per account or equivalent	
1.1.1.10.3			International	RMB1000 per account or equivalent	
1.1.1.11.1		Certificate of Balance	Local	RMB50 per certificate	
1.1.1.11.2			Overseas	RMB200 per certificate	
1.1.2.1	B. Payment	International Payment	Telegraphic Transfer	0.3% of the payment amount , maximum USD200 , plus cable charge of RMB 100 per payment	
1.1.2.2		Amendment/Cancellation/Enquiry	Telegraphic Transfer	USD50, plus out of pocket expenses	
1.1.2.3		Cash Withdrawal	Handeling fee	1%, Minimum USD15	
1.1.3.1.1	C. Collection /Receipt	International Receipt	Telegraphic Transfer	USD50	
1.1.3.1.2			Foreign Currency Draft (HKD and EUR)	0.1%, Min. USD20, Max. USD100, plus postage/cable at cost	
1.2	<b>Account Services (CNY Accounts)</b>				
1.2.1.1	A. Account Maintenance	Account Opening Fee	Handeling fee	RMB10000	
1.2.1.2.1		Account Maintenance Fee	Monthly Average Balance below RMB100,000.	RMB 2000 (monthly charge)	
1.2.1.2.2			Monthly Average Balance RMB100,000. or above	RMB2000 (monthly charge)	
1.2.1.3		Account Audit	Handeling fee	No more than RMB1000 per account	
1.2.1.4.1		Fax of Monthly Statement	Local	RMB50 per statement	
1.2.1.4.2			Overseas	RMB200 per statement	
1.2.1.5.1		Standing instruction	Set-up / Amendment	RMB5000	
1.2.1.5.2			Transaction Fee	per actual	
1.2.1.6.1		Cash Service	Large Amount Cash Withdrawal	3% of the cash amount	

Ref No.	Fees Items	Charges Standard			Charges Rationale / Preferential Policies	
1.2.1.6.2		Note & Coin Counting		5% of the cash amount		
1.2.1.7		Alternation of Signature List	Handeling fee	RMB500 per change per account		
1.2.1.8		Dormant Account Charge	Service fee	RMB1000 per account per month		
1.2.1.9.1		Monthly Billing	Setup Fee	RMB2000 per account		
1.2.1.9.2	Monthly Maintenance and Support		RMB2000 per month per account			
1.2.1.10.1		Tailor-made Account Report	7day call deposit Monthly outstanding balance summary report	RMB200 per account or RMB1000 per account per month		
1.2.1.10.2	Over Draft Interest Report		RMB200 per account or RMB1000 per account per month			
1.2.1.10.3	Additional Advices/Statements		RMB200 per account or RMB1000 per account per month			
1.2.1.11.1		EMS Delivery for Bank Advice/Statement	Domestic (weekly delivery)	RMB500 per month per account		
1.2.1.11.2	Domestic (daily delivery)		RMB2000 per month per account			
1.2.1.11.3	International		RMB1000 per account/per delivery			
1.2.2.1.1.1	B. Payment	T/T	CNAPS Remittance Charge	RMB5 (<=RMB10000)	<b>Charges standardized by the government:</b> subject to Notice of the National Development and Reform Committee and the People's Bank of China	
1.2.2.1.1.2				RMB10 (RMB10000 ~ 100000)		
1.2.2.1.1.3				RMB15 (RMB100000 ~ 500000)		
1.2.2.1.1.4				RMB20 (RMB500000 ~ 1000000)		
1.2.2.1.1.5				0.002% max. RMB200 (>RMB1000000)		
1.2.2.1.2		BEPS Remittance Charge		RMB5		
1.2.2.1.3		Voucher Cost		RMB1 per transaction		
1.2.2.2.1		Corporate Cheque	Voucher Cost		RMB0.4 per transaction	<b>Government Pricing</b>
1.2.2.2.2			Handling Fee		RMB1 per transaction	<b>Charges standardized by the government:</b> subject to Notice of the National Development and Reform Commission and the People's Bank of China
1.2.2.3.1		In-city Giro (paper-based)	Voucher Cost		RMB0.22 per transaction	<b>Charges regulated by the market</b>
1.2.2.3.2	Handling Fee			RMB1 per transaction	This tariff is formulated by the Head Quarter of Deutsche Bank China, and only applicable to clients of GTB	
1.2.2.3.3	In-city Giro (electronic)	Handling Fee		RMB1.22 per transaction	(Fees are charged per basis on management and running cost)	
1.2.2.4.1	Bank Draft	Voucher Cost		No cost	<b>Government Pricing</b>	
1.2.2.4.2		Issuance Fee		No cost		
1.2.2.5.1	Tax Payment via TIPS	Remittance Charge		Same as CNAPS Remittance Charge	<b>Charges regulated by the market:</b>	
1.2.2.5.2		Setup Fee		RMB5000 per set-up	This tariff is formulated by the Head Quarter of Deutsche Bank China, and only applicable to clients of GTB	
1.2.2.5.3		Monthly Fee		RMB5000 per month	(Fees are charged per basis on management and running cost)	
1.2.2.6	Cross-border RMB outward payment	Remittance Charge		0.3% of the payment amount , maximum USD200 , plus cable charge of RMB 100 per payment		
1.2.2.7	Others					
1.2.2.7.1		Cancellation / Amendment of T/T		Same as T/T charge	<b>Charges regulated by the market:</b> This tariff is formulated by the Head Quarter of Deutsche Bank China, and only applicable to clients of GTB (Fees are charged per basis on management and running cost)	
1.2.2.7.2		Lost of Corporate Cheque		0.1%, min. RMB5	<b>Government Pricing</b>	
1.2.2.7.3		Lost of Bank Draft		No cost	<b>Government Pricing</b>	

Ref No.	Fees Items	Charges Standard		Charges Rationale / Preferential Policies	
1.2.2.7.4			Amendment/Cancellation/Enquiry for Cross-border RMB payment	RMB2000 or equivalent, plus out of pocket expenses <b>Charges regulated by the market</b>	
1.2.3.1	C. Collection /Receipt	T/T	Handeling fee	Waived <b>Charges standardized by the government:</b>	
1.2.3.2		Corporate Cheque	Handeling fee	Waived subject to Notice of the National Development and Reform Commission and the People's Bank of China	
1.2.3.3		Giro	Handeling fee	Waived	
1.2.3.4		Cashier's Order	Handeling fee	Waived	
1.2.3.5		Bank Draft	Handeling fee	Waived	
1.2.3.6.1		Collection of Commercial Draft	In-city Collection & Cross-city Collection		
1.2.3.6.1.1			Handling Fee		RMB1 per transaction
1.2.3.6.1.2			Voucher Cost		RMB10 per transaction
1.2.3.6.1.3			Postage		RMB20 via mail / RMB100 via EMS
1.2.3.6.2			Draft Verification Service		
1.2.3.6.2.1			Setup Fee		RMB10000 per set-up <b>Charges regulated by the market:</b>
1.2.3.6.2.2			Service Fee		RMB10000 per month or RMB500 per draft This tariff is formulated by the Head Quarter of Deutsche Bank China, and only applicable to clients of GTB
1.2.3.7		Cross-border RMB inward payment	Handeling fee		(Fees are charged per basis on management and running cost)
1.3	<b>Electronic Banking / Multi-bank Services</b>				
1.3.1.1.1	A. Electronic Banking	db-direct Internet	License	Waived	
1.3.1.1.2			Training	RMB2000 per hour, plus out of pocket expenses	
1.3.1.1.3			Setup, Implementation	RMB5000	
1.3.1.1.4			Support and Subscription	RMB5000 per month	
1.3.1.1.5			System Integration	RMB50000 per time	
1.3.1.1.6			Password Reset	RMB500 per reset	
1.3.1.2.1		Signature Cards for Remote Authorization	Per Card	RMB1000 per card	
1.3.1.2.2			Loss/Re-issue (per card)	RMB1000 per card	
1.3.1.2.3			Smart card and reader for online authorization	RMB1000 per set ( RMB700 for the reader and RMB300 for the smart card)	
1.3.1.3.1		Email Alert Service	Setup Fee	RMB5000	
1.3.1.3.2			Maintenance Fee	RMB5000 per account per month	
1.3.1.4.1		SMS Alert Service	Setup Fee	RMB5000	
1.3.1.4.2			Service Fee	RMB 5000 per account per month or RMB3 per SMS	
1.3.1.5.1		Mobile Authorization	Setup Fee	RMB1000	
1.3.1.5.2			Maintenance Fee	RMB1000per account per month	
1.3.2.1.1	B. Multi-bank Service	Multi-bank Service	Set up (per entity)	RMB50000	
1.3.2.1.2			Monthly fee (per entity)	RMB10000 per month	
1.3.2.1.3			Receiving SWIFT MT940/MT942	RMB1200 per account per month	
1.3.2.1.4			Sending SWIFT MT940/MT942 to DB overseas branches	RMB5000 per account per month	
1.3.2.1.5			Sending SWIFT MT940/MT942 to Third Party Banks	RMB10000 per account per month	
1.4	<b>Liquidity Management Service</b>				
1.4.1.1		RMB/FCY Entrusted Loan	Bank Commission	0.3% on outstanding entrust loan principal amount	
1.4.1.2			Set-up fee (one-off)	RMB50000	

Ref No.	Fees Items	Charges Standard		Charges Rationale / Preferential Policies
1.4.2.1		RMB/FCY Cash Sweep (Onshore and Cross-border)	Bank Commission	Onshore cash pool: 0.3% on outstanding entrust loan amount; Cross-border cash pool: 0.3% on single sweep amount
1.4.2.2			Set-up Fee	RMB100000
1.4.2.3			Amendment Fee	RMB2000
1.4.2.4			Monthly Charge (per account per month)	RMB2000
1.4.2.5			db-Sweep MIS report (value-added service) - via db-direct internet	RMB2000 per month
1.4.2.6			db-Sweep MIS report (value-added service) - paper based	
1.4.2.6.1		Daily	RMB2000 per month	
1.4.2.6.2		Weekly	RMB2000 per month	
1.4.2.6.3		Monthly	RMB2000 per month	
1.5	<b>Other Value-added Service</b>			
1.5.1.1		Payer ID Solution	Setup Fee	RMB10000
1.5.1.2			Service Fee	RMB 5000 per account per month
1.5.2.1		Warehousing Service	Setup Fee	RMB10000
1.5.2.2			Service Fee	RMB1000 per draft or RMB50000 per account per month
1.5.3.1		Auto Email Advicing	Setup Fee	RMB1000
1.5.3.2			Service Fee	RMB2000 per account per month
1.5.4.1		Payroll Service	Setup Fee	RMB5000
1.5.4.2			Service Fee	RMB5 per payment, excluding transaction cost
1.5.5.1		Special Account Service	Setup Fee	RMB10000
1.5.5.2			Service Fee	RMB50000, or 1% of the account principle
1.5.6.1		Safe Custody Account for Foreign Student	Account Opening handling fee	RMB1200 per account for tenor < 1Y RMB 850 per account for tenor >=1Y
1.5.6.2			Recertification	RMB100 per account per rectification
1.5.7		Onsite Pickup Service via Security Escort Company (Designated Region Only)	Service Fee	At cost per Security Escort Company
1.5.8		Corporate Offshore Lending in RMB and FCY	Bank Commission	0.3% of offshore lending principal amount
1.5.9		Cross -border RMB Payment On Behalf Of (POBO)/ Collection On Behalf Of (COBO)	Service Fee	RMB10000
1.5.10		Cross -border RMB Netting	Service Fee	RMB10000
1.5.11		Cross -border FCY Payment On Behalf Of (POBO)/ Collection On Behalf Of (COBO)	Service Fee	RMB10000
1.5.12		Cross -border FCY Netting	Service Fee	RMB10000
2	<b>Trade Finance Services</b>			
2.1	<b>Commercial Draft</b>			
2.1.1		Bank Acceptance Draft	Acceptance fee	0.05% of draft value <b>Regulated price</b>
2.1.2			Risk Premium	subject to pricing agreed with client, with a cap at 3% per annum <b>Market price</b>
2.2.		RMB Draft Discounting	discounting rate	subject to market <b>Market price</b>
2.3	<b>Electronic Draft System</b>			
2.3.1		Electronic Draft System	License	Waived
2.3.2			Training	RMB5000 per training, plus out of pocket expenses
2.3.3			Setup, Implementation	RMB5000
2.3.4			Maintenance and Support	RMB1000-2000 p.a
2.3.5			USB Key & Digital Certificate ( new or loss )	RMB 600 per set

Ref No.	Fees Items	Charges Standard		Charges Rationale / Preferential Policies
2.3.6			USB Key Password Reset	RMB 500 per reset
2.3.7			Message Fee	Waived
2.4	<b>SBLC/BG</b>			<b>Market price</b>
2.4.1.1		SBLC/BG Issuance	SBLC/BG Issuance(with counter guarantee )	Subject to the customer credit rating and tenor of the risk to take, with min. EUR150/equivalent RMB (if no legal wording review), or with min. EUR300/equivalent RMB (if there is legal wording review).
2.4.1.2			SBLC/BG Issuance( without counter guarantee)	Subject to the customer credit rating and tenor of the risk to take, with min. USD60/equivalent RMB
2.4.2.1		SBLC/BG Amendment	Increase of SBLC/BG Amount (with counter guarantee)	The same rate as charged for SBLC/BG Issuance for the increased amount with min. EUR150/equivalent RMB
2.4.2.2			Extension of SBLC/BG Validity(with counter guarantee)	The same rate as charged for SBLC/BG Issuance for the extended validity with min. EUR150/equivalent RMB
2.4.2.3			Other Amendment (with counter guarantee)	EUR150/equivalent RMB (if no legal wording review); EUR300/equivalent RMB (if there is legal wording review).
2.4.2.4			Increase of SBLC/BG Amount(without counter guarantee)	The same rate as charged for SBLC/BG Issuance for the increased amount with min. USD40/equivalent RMB.
2.4.2.5			Extension of SBLC/BG Validity(without counter guarantee)	The same rate as charged for SBLC/BG Issuance for the extended validity with min. USD40/equivalent RMB.
2.4.2.6				Other Amendment (without counter guarantee)
2.5	<b>Import</b>			<b>Market Price</b>
2.5.1		LC Issuance	LC Issuance	0.15% for each validity of three months or part thereof, with min.USD40/equivalent RMB
2.5.2.1		LC Amendment	Increase of LC Amount	0.15% of increased LC amount with min. USD40/equivalent RMB
2.5.2.2			Extension of LC Validity	0.15% of LC amount for the extended validity with min. USD40/equivalent RMB
2.5.3			Amend other LC Terms	USD45 flat/equivalent RMB
2.5.4		Cancellation of LC	Cancellation of LC	USD40/equivalent RMB
2.5.5.1		Import Bills	Handing commission	per transaction 0.125% of bills amount with min.USD40/equivalent RMB
2.5.5.2			Discrepancy Fee	USD90 flat/equivalent RMB
2.5.5.3			Deferred payment commission	Subject to arrangement, 0.1% per month with Min. USD40/equivalent RMB as reference
2.5.5.4			Acceptance commission	Subject to arrangement, 0.1% per month with Min. USD40/equivalent RMB
2.5.5.5			Holding charges on overdue bills	USD35/equivalent RMB per month
2.5.5.6			Payment/Reimbursement Fee for import bills (including LC & non-LC import bills)	USD90/equivalent RMB per bill
2.6	<b>Export</b>			<b>Market Price</b>
2.6.1.1		Export LC Advising	Pre-advising(excluding courier charge)	RMB200/equivalent USD per LC
2.6.1.2			LC Advising(excluding courier charge)	RMB200/equivalent USD per LC
2.6.1.3			LC Amendment Advising(excluding courier charge)	RMB200/equivalent USD per LC amendment
2.6.1.4			LC Cancellation Advising(excluding courier charge)	RMB200/equivalent USD per LC in addition to cable charges
2.6.1.5			Courier Charge of Export LC Advising	RMB50/equivalent USD per transaction.
2.6.2.1		Confirmation of LC	Sight LC Confirmation	Subject to the type of risk undertaken; dependent upon the risk of issuing bank and issuing country. Except that the pricing is subject to TPS Approval Procedure, minimum confirmation fee should not be less than USD200/equivalent RMB.Detail calculation refers to "Regional Policy on the calculation of LC confirmation fee".

Ref No.	Fees Items	Charges Standard		Charges Rationale / Preferential Policies
2.6.2.2			Deferred Payment LC Confirmation	Subject to the type of risk undertaken; dependent upon the risk of issuing bank and issuing country. Except that the pricing is subject to TPS Approval Procedure, minimum period for the calculation should be for at least a period of one month and minimum fee should not be less than USD200/equivalent RMB.Detail calculation refers to "Regional Policy on the calculation of LC confirmation fee".
2.6.2.3			Cancellation LC Confirmation	USD25 flat/RMB170 (exclusive of cable charges)
2.6.3.1		Handing Commission for Export Bills	LC bills	per transaction 0.125% of bills amount with min.USD50/equivalent RMB
2.6.3.2			Non-LC bills collection	per transaction 0.125% of bills amount with min.USD50/equivalent
2.6.3.3			Holding charges on overdue bills	per transaction USD35 flat/equivalent RMB collected by month
2.6.4.1		Transfer of LC	Full transfer with or without substitution	USD50flat/equivalent RMB
2.6.4.2			Partial transfer with or without substitution	per transaction 0.15% of bills amount with min. USD50/equivalent RMB
2.6.4.3			Amendment of transferred LC with amount increase	per transaction 0.15% of bills amount with min. USD50/equivalent RMB
2.6.4.4			Amendment of transferred LC without amount increase or cancellation of transferred LC	USD50flat/equivalent RMB
2.6.5		Commission in lieu of exchange	Commission in lieu of exchange	per transaction 0.125% of bills amount with min.USD10/equivalent RMB
2.7	<b>Miscellaneous Charges</b>			<b>Market price</b>
2.7.1.1		Postage	Domestic speedpost(EMS and other Couriers)	-RMB50 flat/USD equivalent per bill
2.7.1.2			HK , Macau , Japan and South Korea	USD30 flat/equivalent RMB per bill
2.7.1.3			Africa, Middle East and East Europe	USD50 flat/equivalent RMB per bill
2.7.1.4			Other Regions	USD45 flat/equivalent RMB per bill
2.7.2.		Cable Charges	Cable Charges	USD25/equivalent RMB per page
2.7.3		Break-funding cost	If any loan is not repaid on the scheduled repayment date, the borrower shall indemnify the bank for the breakfunding cost arising therefrom.	no more than 10% of the pre-repaid amount
2.8	<b>Electronic Banking</b>			<b>Market price</b>
2.8.1.1		Trade Finance E-channel	Setup, Implementation	RMB5,000 per set-up
2.8.1.2			FSC portal implementation	RMB50,000 per set-up
2.8.1.3			Training	RMB5,000 per training, plus out of pocket expenses
2.8.1.4			Support and Subscription	RMB5,000 per month
2.8.1.5			System Integration	RMB50,000 per set-up
2.8.1.6			Password Reset	RMB500 per reset
2.8.2.1		Signature Cards for Remote Authorization	Per Card	RMB1,000 per card
2.8.2.2			Loss/Re-issue (per card)	RMB1,000 per card
2.8.2.3			Smart card and reader for online authorization	RMB700 per set (RMB460 for the reader and RMB240 for the smart card)
2.8.3.		Export Documents Creation Outsourcing	Service fee	USD500 or equivalent per set for one transaction
2.8.4		<u>InfoTrack Setup (stand-alone)</u>	Set up , Implementation	USD1,000 or equivalent
2.9	<b>Trade Finance Structure Fee</b>	Trade Service& Finance Plan Design/Amendment Fee(Transaction Bank Trade Finance);	Trade service and Finance plan design and amendment free is tailor-made based on client requirement, including the continuous optimization and amendment during the implementation and annual review.	no more than 10% of the corresponding trade finance product amount
3	<b>Ordinary Loan</b>			<b>Market price</b>



Ref No.	Fees Items	Charges Standard		Charges Rationale / Preferential Policies
3.1		Loan Commitment Fee	provide commitment loan and prepare corresponding cash for withdrawal	no more than 10% of the non withdrawal amount
3.2		Finance Service Fee for Local Enterprises borrowing from Overseas	provide consultation and arrangement for local enterprises	no more than 10% of the enterprise finance amount
3.3		Finance Service Fee for Foreign Enterprises borrowing from China	provide consultation and arrangement for foreign enterprises	no more than 10% of the enterprise finance amount
3.4		Break-funding cost	If any loan is not repaid on the scheduled repayment date, the borrower shall indemnify the bank for the breakfunding cost arising therefrom.	no more than 10% of the pre-repaid amount
4	<b>Syndicated Loan</b>			<b>Market price</b>
4.1		Syndicated Loan Advisory Fee	Due to different industrial structure and the special nature of borrower's project development, when preparing syndicated loan, usually one bank is appointed by the borrower to provide advisory service	no more than 10% of the loan amount in the contract
4.2		Syndicated Loan Agency Bank Fee	In syndicated loan, one bank is appointed by the borrower and the lenders as the agency bank, acting on behalf of all lenders. Agency banks can be further divided into loan agency bank or security agency bank. If they are not the same bank, the agency bank fee should be calculated separately.	no more than RMB 1,000,000 per annum for both loan agency bank and/ or security agency bank
4.3		Syndicated Loan Account Management Fee	account management and service	no more than RMB 200,000 per annum
4.4		Syndicated Loan Underwriting Fee	Underwriting fee is charged for underwriting all or part of the loan.	no more than 10% of the loan amount in the contract
4.5		Syndicated Loan Arrangement Fee/ Bookkeeping Fee/ Coordination Fee	Potential participating banks are invited through sending out invitations and holding meetings. The arrangement fee/bookkeeping fee/coordination fee will be paid in a lump sum according to certain proportion of the final loan total.	no more than 10% of the loan amount in the contract
4.6		Amount Cancellation Fee	If the borrower breaches the contract by not withdrawing the corresponding loan, loss will be caused due to the cancellation of partial loan.	no more than 10% of the cancellation amount
4.7		Syndicated Loan Commitment Fee	If the borrower fails to withdraw the loan or fail to withdraw the committed amount, loss will be caused due to the cancellation of partial loan.	no more than 10% of the non withdrawal amount
4.8		Syndicated Loan Participation Fee	Participating bank will charge participation fee from the leading bank.	based on negotiation with the leading bank in accordance with laws and regulations
4.9		Spread loss fee	Spread loss fee	no more than 10% of the pre-repaid amount
4.10		Service Termination Fee	Due to the different industrial structure and the special nature of borrower's project development, when preparing syndicated loan, usually one bank is appointed to provide advisory service.	no more than 10% of the planned finance amount
4.11		Extension Arrangement Fee	In syndicated loan, if the borrower requires and the lending bank agrees, the loan can be extended upon expiration.	no more than 10% of the extension amount
4.12		Immunity Fee	Immunity fee is charged when the borrower or obligator files an immunity application based on the rules regulating breach, amendment or alteration of their commitment or other rules in the finance documents, and the lending bank accepts it.	no more than 10% of the loan amount in the contract
4.13		Default Interest	When the client fails to pay any payment articulated in any finance document or the client breaches the finance document by embezzling the loan, the interest of the related overdue items shall be accumulated.	no more than 20% of the loan amount in the contract p.a.
5	<b>Structured Finance</b>			<b>Market price</b>
5.1		Consultation and Advisory Fee	provide consultation and advisory service in the field of finance needs for the party in need of funds and the party providing funds	no more than 10% of the finance amount
5.2		Loan Commitment Fee	provide commitment loan and prepare corresponding cash for withdrawal	no more than 10% of the non withdrawal amount

Ref No.	Fees Items	Charges Standard		Charges Rationale / Preferential Policies
5.3	Finance Front End Fee/Arrangement Fee/ Design Fee/ Structure Fee/ Amendment Fee ( Arrangement fee only applying for syndicated loan)	<p><b>Finance Front End Fee/ Arrangement Fee:</b> In structured trade finance, front end or arrangement fee is charged for deeply analyzing and knowing the client's business structure/ industrial features/ relevant laws and regulations, designing loan structure according to client needs, opening up finance channels overseas; coordinating and arranging stakeholders in the finance process to reach a deal. ( Arrangement fee only applying for syndicated loan)</p> <p><b>Finance structure design fee(structured finance):</b> Finance structure design fee is charged for 1.Designing debt structure, opening up finance channel at home and abroad; 2.Deeply analyzing and knowing the client's business structure/ industrial features, designing the loan according to client needs; 3.Designing repayment date; 4.Considering guarantee conditions in line with the client finance needs and finance costs combining with the actual conditions and features of the client.</p> <p><b>Finance Plan Amendment Fee:</b> Under the circumstances that clients failed to perform clauses in the contract or wish to re-structure or change the clauses after finance plan and contract has been completed. DB will amend the financing plan accordingly.</p>	Under Structured Finance : no more than 10%of the loan amount in the contract	
5.4	Break-funding cost	If any loan is not repaid on the scheduled repayment date, the borrower shall indemnify the bank for the breakfunding cost arising therefrom.	no more than 10% of the pre-repaid amount	
5.5	Immunity Fee	Immunity fee is charged when an immunity application is filed by the borrower or obligator based on the rules regulating their commitment breach, amendment, alteration or other things in the finance documents, and DB accepts it and arranges corresponding immunity, after the finance plan design is completed.	no more than 10% of the loan amount in the contract	
5.6	Finance Service Fee for Local Enterprises borrowing from Overseas	provide consultation and arrangement for local enterprises	no more than 10% of the enterprise loan amount	
5.7	Finance Service Fee for Foreign Enterprises borrowing from China	provide consultation and arrangement for foreign enterprises	no more than 10% of the enterprise loan amount	
5.8	Default Interest	When the client fails to pay any payment articulated in any finance document or the client breaches the finance document by embezzling the loan, the interest of the related overdue items shall be accumulated .	no more than 20% of the loan amount in the contract p.a.	
6	<b>Securities Services</b>			<b>Market price</b>
6.1.1	Safe-keeping Fee (Apply to QFII and RQFII)	Provide custody services for clients' onshore securities investment	The fee shall be collected monthly based on month-end account portfolio value, at the annual fee rate up to 0.06% . The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.1.2	Transaction Fee (except for ETF Subscription / Redemption) (Apply to QFII and RQFII)	Provide settlement services for clients' onshore securities investment	Up to USD50 per transaction The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.1.3	Settlement Instruction Repair and Cancellation Fee (Apply to QFII and RQFII)	Provide settlement instruction amendment and cancellation services for clients' onshore securities investment	Up to USD 10 per transaction The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.1.4	Exchange Traded Fund Transaction Fee for ETF Subscription / Redemption	Provide settlement services for clients' ETF Subscription / Redemption	USD 550 per transaction The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.1.5	Outbound CNY Payment Fee (Apply to RQFII)	Provide corss-border CNY remittance services for clients' onshore securities investment	Up to RMB 200 per payment The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	

Ref No.	Fees Items	Charges Standard			Charges Rationale / Preferential Policies
6.1.6	<b>QFII / RQFII Custody Services</b>	Sub-account Monthly Management Fee (Apply to QFII and RQFII)	Provide sub-account services for clients' onshore securities investment	No charge on the first three sub-accounts, USD 1,000 per sub-account per month from the fourth one onward The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.1.7		Administration Fee (Apply to QFII and RQFII)	Provide administrative services including account opening, compliance monitoring etc. for clients' index futures investment	USD 500 per account per month The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.1.8		Margin Transfer Service Fee (Apply to QFII and RQFII)	Provide margin transfer services for clients' index futures investment	Up to USD 150 per transaction The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.1.9		Monthly Minimum Fee (Apply to QFII and RQFII)	The monthly minimum fee for providing all kinds of services for clients' onshore securities services (charged only when the sum of other fees is below the monthly minimum standard)	USD 2,000 per account per month	
6.1.10		Historical Data Handling Fee (Apply to QFII and RQFII)	Collect and provide data, including but not limited to historical transactions and settlement details per client's request	no charge on current year, up to USD 500 per account per year for historical ones. The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.1.11		Customized Report Service Fee (Apply to QFII and RQFII)	Provide customized reports per client request	Up to USD 200 per customized report per month The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.2.1		<b>Bond Settlement Agency Services</b>	Safe-keeping Fee (Apply to CIBM investors)	Provide custody services for clients' investment in CIBM	
6.2.2	Bond Settlement Agency Fee (Apply to CIBM investors)		Provide both brokerage and settlement services for clients' investment in CIBM	Up to USD 180 per transaction if collected by fixed amount; or up to 0.01% of the face value per bond transaction. The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.2.3	Settlement Instruction Repair and Cancellation Fee (Apply to CIBM investors)		Provide settlement instruction amendment and cancellation services for clients' investment in CIBM	Upto USD 10 per transaction The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.2.4	Outbound CNY Payment Fee (Apply to CIBM investors)		Provide cross-border CNY remittance services for clients' investment in CIBM	Up to RMB 200 per payment The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.2.5	CNAPS Remittance Fee		Provide onshore RMB transfer for clients' investment in CIBM	Up to RMB 200 per payment The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.2.6	Sub-account Monthly Management Fee (Apply to CIBM investors)		Provide sub-account services for clients' investment in CIBM	No charge on the first three sub-accounts, USD 1,000 per sub-account per month from the fourth one onward The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
6.2.7	Monthly Minimum Fee (Apply to CIBM investors)		The monthly minimum fee for providing all kinds of services for clients' investment in CIBM (charged only when the sum of other fees is below the monthly minimum standard)	USD 2,000 per account per month	
6.3.1	<b>QDII Master Custodian Services</b>	Safe-keeping Fee (Apply to QDII)	Provide custody services for clients' offshore securities investment	The fee shall be collected monthly based on month-end account portfolio value, at the annual rate up to 0.2% and no lower than RMB 10,000 (or equivalent in foreign currency) per month per QDII product. The specific price shall be determined upon negotiation with the client, subject to service details and market factor.	
7	<b>Trust and Agency Services</b>				
7.1		Syndicated Loan Agency Fee	Facility Agent and Security Agency will pursuant to the finance documents to provide relevant services to financing parties	no more than RMB 1,000,000 per annum for both Facility Agent and/ or Security Agent	

Ref No.	Fees Items	Charges Standard		Charges Rationale / Preferential Policies
7.2		Syndicated Loan Account Bank Fee	Facility Agent will pursuant to the finance documents to manage specific account	no more than RMB 200,000 per annum
7.3		Escrow Account Services Fee	Provide escrow services for transaction parties during Merge and Acquisition transactions and etc.	no more than RMB 120,000 per annum
<p><b>Remarks:</b></p> <p>1. The above tariff rate and fees items will be adjusted based on specific circumstances of clients and transactions.</p> <p>2. For all transactions, if the service fee exceeds RMB 10,000,000, it should be approved by business unit head, chief finance officer and the vice president of the head office.</p> <p>3. The bank will strictly follow regulatory mandatory requirements on the related charges, while the bank may have fees discount or return to our clients based on the specific circumstances of clients and transactions.</p> <p>4. The above fees will be charged under the specified currency formulated by the bank</p> <p>5. "Global Transaction Banking Value-added Services" can only be approved if the following requirements are fulfilled</p> <p>a. Terms and Conditions clearly describing the "Service Fee" shall be included in legal documents and customers agree in written</p> <p>b. Quality service shall be provided and monitored on day-to-day basis. The following service types provide a guideline when assessing whether "Service Fee" can be levied.</p> <ul style="list-style-type: none"> <li>- Analyze customer's working capital requirement and propose a most suitable facility structure</li> <li>- Regular review of customer's the facility structure and provide advice for improvement</li> <li>- Provide "DB Research" report to customers on regular basis</li> <li>- Provide briefing/updates of new regulations on regular basis</li> <li>- Other value added services that help customers to improve banking related activities</li> </ul> <p>6. Client can choose one designated RMB account opened in Deutsche Bank (China) Co., Ltd. to waive its account maintenance fee(Not applicable for Account maintenance fee of Security Service, Syndicated Loan and Trust and Agency Services).</p> <p>7. Updated on 5 Dec 2018. Please refer to "Update Disclaimer" for detailed effective date for those changes. Deutsche Bank (China) Co., Ltd. reserves the final interpretation right.</p>				

Deutsche Bank (China) Co., Ltd Wealth Management - Pricing Schedule				Deutsche Bank (China) Co., Ltd Wealth Management	
Ref No.	Charging Item	Charging Standard		Charges Rationale	
1	<b>DOMESTIC FUND TRANSFER (Transaction Fee)</b>				
1.1	To other Account within DB China	Inter-city / Intra-city (Individual/Corporate Account)	Free	<b>Government Guided Price:</b> subject to Notice of the National Development and Reform Commission and the People's Bank of China	
1.2	<b>To Account Opened with Other Banks</b>				
1.2.1	RMB (CNAPS)	Individual Account (Intra-city / Inter-city)	max RMB 50	<b>Government Guided Price:</b> subject to Notice of the National Development and Reform Commission and the People's Bank of China	
			RMB 2 (<=RMB 2,000)		
			RMB 5 (RMB 2,000 ~ 5,000)		
			RMB 10 (RMB 5,000 ~ 10,000)		
			RMB 15 (RMB 10,000 ~ 50,000)		
1.2.2		Corporate Account (Intra-city / Inter-city)	Above 50,000 charge 0.03%, max RMB 50		
			max RMB 200		
			RMB 5 (<=RMB 10,000)		
			RMB 10 (RMB 10,000 ~ 100,000)		
			RMB 15 (RMB 100,000 ~ 500,000)		
1.2.3	FCY (TT Only)	Individual / Corporate Account	equiv.USD 30	<b>Market-adjusted Price:</b> Apply for WM client formulated by DB China (subject to operation and management cost)	
2	<b>REMITTANCE OVERSEAS (Transaction Fee)</b>				
2.1	Inward Remittance – T/T		Free	<b>Market-adjusted Price:</b> Apply for WM client formulated by DB China (subject to operation and management cost)	
2.2	Outward Remittance – T/T				
2.2.1		Remittance Out	equiv. USD 30		
2.2.2		Amend/Inquiry	equiv. USD 20		
2.2.3		cancellation of USD A/C	equiv.USD 30		
2.2.4		cancellation of Other A/C	equiv. EUR 25		
3	<b>ACCOUNT MANAGEMENT FEE</b>	In first year	Free	<b>Market-adjusted Price:</b> Apply for WM client formulated by DB China (subject to operation and management cost)	
		AUM below USD 1 million or equiv. after 1 year	equiv. RMB 1,250 per quarter		
		Dormant Account Maintenance Fee (No movement within 12 months)	equiv. RMB 80 per semi-annual		
		Loan customers	Free		
4	<b>RMB / FCY ENTRUSTED LOAN</b>	Bank Commission	0-5% p.a. on outstanding Entrusted loan amount	<b>Market-adjusted Price:</b> Apply for WM client formulated by DB China (subject to market practise, client credit rating and credit tenor)	
		Set-up Fee (one-off)	RMB 0-50,000		
5	<b>SBLC/BG (Issuance and Amendment Fee)</b>				
5.1		<b>SBLC/BG Issuance</b>	Subject to the customer credit rating and tenor of the risk to take, with min. EUR 150/RMB1,100 (if no legal wording review), or with min. EUR 300/RMB2,200 (if there is legal wording review), max 2% p.a.	<b>Market-adjusted Price:</b> Apply for WM client formulated by DB China (subject to market practise, client credit rating and credit tenor)	
5.2		<b>SBLC/BG (Amendment)</b>			
5.2.1		Increase of SBLC/BG Amount	The same rate as charged for SBLC/BG Issuance for the increased amount with min.EUR 150/RMB1,100, max 2% p.a.		
5.2.2		Extension of SBLC/BG Validity	The same rate as charged for SBLC/BG Issuance for the extended validity with min. EUR 150/RMB1,100, max 2% p.a.		
5.2.3		Other Amendment	EUR 150/RMB1,100,(if no legal wording review); EUR 300/RMB2,200 (if there is legal wording review)		
6	<b>Loan business ( Corporate client)</b>	Breakfunding Cost Fee	If any loan is not repaid on the scheduled repayment date, the borrower shall indemnify the bank for the breakfunding cost arising therefrom. Max. not exceeding 10% of advance repayment	<b>Market-adjusted Price:</b> Apply for WM client formulated by DB China (subject to market practise, client credit rating and credit tenor)	
7	<b>QDII Investment Product</b>				
7.1	<b>Subscription Fee</b>	Monetary Market	0.5%	<b>Market-adjusted Price:</b> Apply for WM client formulated by DB China (subject to operation and management cost)	
		Bond Structure Index-Monetary Index-Bond	0.5% - 2.0% of the subscription amount (Subject to the underlying asset of the investment overseas fund and/or the subscription amount, Subscription Fee varies up to 2.0%)		
		Equity Balanced Alternative Index-Equity	1.0% - 2.9% of the subscription amount (Subject to the underlying asset of the investment overseas fund and/or the subscription amount, Subscription Fee varies up to 2.9%)		
7.2	<b>Redemption Fee</b>		Free		
8	<b>Other Account Service</b>				
8.1	<b>Audit Confirmation Fee</b>		RMB 200 per Audit Confirmation Letter	<b>Market-adjusted Price:</b> Apply for WM client formulated by DB China (subject to operation and management cost)	
	Notes: 1. The Bank reserves the right to charge early withdrawal of Time Deposit. 2. The above Pricing Schedule except those regulated by government is subject to review and adjusts by Deutsche Bank. 3. Any services or transaction type not mentioned above should be subject to Deutsche Bank's pricing conditions without violating compulsory regulations. (e. g. the minimum RMB loan interest rate). 4. Updated on 5 Dec 2018. Please refer to "Update Disclaimer" for detailed effective date for those changes. Deutsche Bank (China) Co., Ltd. reserves the final interpretation right.				

**Deutsche Bank (China) Co., Ltd. Wealth Management - Pricing Schedule**  
(for legacy Private & Business Clients only)

Deutsche Bank (China) Co., Ltd  
Wealth Management

Ref No.	Services	Tariff	Charges Rationale
<b>1</b>	<b>General Account Service</b>		
1.1	Account Maintenance Fee	Business Banking Clients CNY 100 equivalent, for monthly average balance below CNY 80,000 equivalent <sup>1</sup>	Market Adjusted Price: Deutsche Bank(China) Pricing Applied to Wealth Management - PBC legacy clients
1.2.1	Dormant Account Charge	Business Banking Clients CNY 80 equivalent per month, for no client-initiated transaction within 12 months	Market Adjusted Price: Deutsche Bank(China) Pricing Applied to Wealth Management - PBC legacy clients
1.2.2	Dormant Account Charge	Private Banking Clients CNY 20 equivalent per month, for no client-initiated transaction within 12 months	Market Adjusted Price: Deutsche Bank(China) Pricing Applied to Wealth Management - PBC legacy clients
<b>2</b>	<b>Remittance</b>		
<b>2.1</b>	<b>Private Banking Clients</b>		
2.1.1	CNY Outward Remittance	CNY 2 (Transaction amount below CNY 2,000 (inclusive))	Government Guidance Price: subject to Notice of the National and Reform Commission and the People's Bank of China
2.1.2		CNY 5 (CNY 2,000-CNY 5,000 (inclusive))	
2.1.3		CNY 10 (CNY 5,000-CNY 10,000 (inclusive))	
2.1.4		CNY 15 (CNY 10,000-CNY 50,000 (inclusive))	
2.1.5		0.03% of the transaction amount, max CNY 50 (above CNY 50,000)	
2.1.6	FCY Outward Remittance <sup>2</sup>	0.025% of the transaction amount (min CNY 25 equivalent, max CNY 150 equivalent), plus cable charge of CNY 120 equivalent	Market Adjusted Price: Deutsche Bank(China) Pricing Applied to Wealth Management - PBC legacy clients
2.1.7	Inward Remittance	Free (Correspondent bank charge will be borne by clients <sup>3</sup> )	
2.1.8	Amend/Cancellation of T/T	Free	
<b>2.2</b>	<b>Business Banking Clients</b>		
2.2.1	CNY Outward Remittance	CNY 5 (For CNY10,000 or less)	Government Guidance Price: subject to Notice of the State Planning Commission and the People's Bank of China
2.2.2		CNY 10 (For CNY100,000 or less)	
2.2.3		CNY 15 (For CNY500,000 or less)	
2.2.4		CNY 20 (For CNY1,000,000 or less)	
2.2.5		0.002% of the payment amount (max CNY 200) (For CNY1,000,000 above)	
2.2.6	FCY Outward Remittance <sup>2</sup>	0.0625% of the transaction amount (min USD 7 equivalent, max USD 65 equivalent), plus cable charge USD 25 equivalent (flat)	
2.2.7	Inward remittance	waive (Correspondent bank charge will be borne by clients <sup>3</sup> )	
2.2.8	Amendment / Cancellation	waive	
<b>3</b>	<b>Mortgage Services</b>		
3.1	Additional Payment Schedule	CNY 50 equivalent per account	Market Adjusted Price: Deutsche Bank(China) Pricing Applied to Wealth Management - PBC legacy clients
3.2	Repayment History	CNY 100 equivalent per document per year	
3.3	Copy of "Other Right Certificate"	CNY 200 equivalent per document	
3.4	Copy of "Certificate of Mortgage Loan Contract"	CNY 200 equivalent per document	
3.5	Break Funding Cost	2% of the prepayment within the 1st year of disbursement 1% of the prepayment within the 2nd year of disbursement 0.5% of the prepayment within the rest year of disbursement	Market Adjusted Price: Deutsche Bank(China) Pricing Applied to Wealth Management - PBC legacy clients
<b>4</b>	<b>QDII Investment Product</b>		
4.1	Redemption Fee	Up to 1% of the redemption amount (Subject to the type of QDII investment product and the redemption amount, Redemption Fee rate varies up to 1%)	Market Adjusted Price: Deutsche Bank(China) Pricing Applied to Wealth Management - PBC legacy clients
<b>5</b>	<b>Other Account Services</b>		
5.1	Certification of Deposit	Free	Market Adjusted Price: Deutsche Bank(China) Pricing Applied to Wealth Management - PBC legacy clients
5.2	Statement Copy	Free (statement month within 12 months (inclusive))	
		CNY 25 per month per copy (statement month over 12 months)	
5.3	Enquiry	Free	
5.4	Audit Report Verification	USD 10 equivalent (per copy)	
<b>5.5</b>	<b>Postage (Each 0.5kg) <sup>4</sup></b>		
5.5.1	Mainland China	CNY 35 Express (EMS)	Market Adjusted Price: Deutsche Bank(China) Pricing Applied to Wealth Management - PBC legacy clients
5.5.2	Other Areas/Countries	CNY 350 Express (DHL)	
<b>Remarks:</b>			
1.(1) Deutsche Bank (China) Co., Ltd.will charge the above account maintenance fee monthly if the daily average balance of account of the customer in a calendar month is less than the amount required by "The Bank".			
(2) Monthly account maintenance fee will be waived in the month of account closure			
2. For USD overseas outward remittance,			
(1) If customer chooses "OUR" as payment method, besides collecting remittance fees in this tariff, Deutsche Bank (China) Co., Ltd.will collect USD3.50 (if remittance amount is below USD 1 billion) or USD3.95 (if remittance amount is equal to or above USD 1 billion) on behalf of Deutsche Bank's clearing bank in U.S.A.			
(2) If customer chooses "OUR" as payment method and with remarks of "OUROUR/", that is, filling in "OUR" and "OUROUR/" as payment method in remittance application form, besides collecting remittance fees in this tariff, Deutsche Bank (China) Co., Ltd.will collect USD 25.00 on behalf of Deutsche Bank's clearing bank in U.S.A. In this case, the bank will bear all charges by transferring bank(s) and beneficiary's bank all through the remittance route. Customer will not be charged any more.			
3. Any charges levied by other bank on the foreign currency inward transfer shall be borne by the customer.			
<b>Notes:</b>			
1. All charges are in CNY or relevant foreign currency , the charges will be collected in CNY or in relevant foreign currency.			
2. Types of services and specifications may vary locally, please refer to Relationship Manager for details.			
3. The English and Chinese version of the tariff have the same validity. In case of unclarity the Chinese version prevails.			
4. Client can choose one designated RMB account opened in Deutsche Bank ( China ) Co., Ltd. to waive its account maintenance fee.			
5. Updated on 1 March 2018. Please refer to "Update Disclaimer" for detailed effective date for those changes. Deutsche Bank (China) Co., Ltd. reserves the final interpretation right.			

## Update Disclaimer (Dec 2018)

### Update details:

#### 1. For GM Pricing

##### + Debt Capital Markets

- Modified the wording of 3.1 Bond Underwriting Fee Income to make it more comprehensive by inserting three words "issuance fee" and "etc".

**Change effective date:**

**5 Dec, 2018**

#### 2. For GTB Pricing

##### + 1. Cash Management Services (section 1)

- Removed 1.1.2.1.2 Foreign Currency Draft (USD) fee items since we stop offering the product/service
- Removed 1.2.1.12 payment password device fee items since we stop offering the product/service
- Removed 1.5.2.1/1.5.2.2 cheque outsourcing fee items since we stop offering the product/service

**Change effective date:**

**5 Dec, 2018**

**Change effective date:**

**5 Dec, 2018**

**Change effective date:**

**5 Dec, 2018**

- Added 1.1.3.1.2 Foreign Currency Draft (HKD and EUR) fee items since we start offering the new service

**Need public for 3 months, effective date :**

**6 Mar, 2019**

##### + 2. Security Service (Section 6)

- Removed 6.3.1/6.3.2/6.3.3/6.3.4 the fees related to QDLP business since we cannot provide the service due to regulatory constraints at the moment, and changed the business type of to "QDII Master Custodian Services" (QDII 保管银行) that we can offer.

**Change effective date:**

**5 Dec, 2018**

#### 3. For WM Pricing

- In Section 1.2.3 FCY (TT Only), removed the classification of Intra-city and Inter-city in and unified the fee as USD30 eqv. for this item.

**Need public for 3 months, effective date :**

**6 Mar, 2019**

- In Section 3, updated the charge frequency of "Account Management Fee - AUM below USD 1 million or equiv. after 1 year" from RMB 5,000 per year to RMB 1,250 per quarter; added the description of charge frequency of "per semi-annual" for Dormant Account Maintenance Fee.

**Change effective date:**

**5 Dec, 2018**

- Added new items of "7. QDII Investment Product"

**Need public for 3 months, effective date :**

**6 Mar, 2019**

- Adjusted the section number of "Other Account Service Fee" from 7 to 8.

**Change effective date:**

**5 Dec, 2018**

## Update Disclaimer (Mar 2018)

### Update details:

#### 1. For GM Pricing

+ Only deleted repeated wording for Item 2.10 & 2.11 in CN version, No change to EN version

#### 2. For GTB Pricing

##### + 1. Cash Management Services (section 1)

- Enriched blanks in fee description
- Deleted "Escrow Account Service Fee" ( Previous Item No. 1.6 )
- Minor Adjustment on serial number
- Delete "Other" ( Pervious Item No. 1.7 )

**Change effective date:**

**1 March, 2018**

**Change effective date:**

**1 March, 2018**

**Change effective date:**

**1 March, 2018**

**Change effective date:**

**1 March, 2018**

- Added 4 new items of 1.5.10-1.5.13	<b>Need public for 3 months, effective date :</b>	<b>2 June, 2018</b>
+ 2. Trade Finance Service (section 2)		
- Delete "Other" ( Pervious Item No. 2.9 )	<b>Change effective date:</b>	<b>1 March, 2018</b>
- Added 2.9 "Trade Finance Structure Fee"	<b>Need public for 3 months, effective date :</b>	<b>2 June, 2018</b>
+ 5. Structured Finance (section 5)		
- Deleted "Under Transaction Bank Trade Finance" Part in charging standard of 5.3	<b>Change effective date:</b>	<b>1 March, 2018</b>
- Deleted previous 5.7 "Agent bank Fee" and 5.8 "Account Management Fee"	<b>Change effective date:</b>	<b>1 March, 2018</b>
- Clarified on exemption of 5.3 arrangement fees	<b>Need public for 3 months, effective date :</b>	<b>2 June, 2018</b>
+ 6. Security Service (Section 6)		
- Changed name of "Custodian" to "Security Service"	<b>Change effective date:</b>	<b>1 March, 2018</b>
- Re-structured the whole section by dividing into 3 service types, and fee item name, description and charging standard are given accordingly.	<b>Need public for 3 months, effective date :</b>	<b>2 June, 2018</b>
+ 7. Trust and Agency Service (section 7) ( newly added )		
- Added new items of 7.1-7.3	<b>Need public for 3 months, effective date :</b>	<b>2 June, 2018</b>
+ Further clarified for Note #6.	<b>Change effective date:</b>	<b>1 March, 2018</b>
<b>3. For WM Pricing</b>		
- Adjusted the item numbers (Previous 2.2-2.5 to 2.2.1-2.2.4)	<b>Change effective date:</b>	<b>1 March, 2018</b>
- Deleted previous 2.6 "FCY Cash Remittance" and 2.7 "Check Collection from Oversea"	<b>Change effective date:</b>	<b>1 March, 2018</b>
- Added new item 7.1 Audit confirmation Fee	<b>Need public for 3 months, effective date :</b>	<b>2 June, 2018</b>
<b>4. For WM Pricing ( for legacy Private &amp; Business Clients only )</b>		
- Added Note #4	<b>Change effective date:</b>	<b>1 March, 2018</b>
- Added item 1.2.2 Dormant Account Charge for Private Banking Clients	<b>Need public for 3 months, effective date :</b>	<b>2 June, 2018</b>
- Items 3-4.1 are not new tariff, they were previously reflected in other documents (e.g. Mortgage Customer Service Application Form.) and are now combined into this tariff as requested by regulator.	<b>Need public for 3 months, effective date :</b>	<b>2 June, 2018</b>

#### **Update Disclaimer (1 Sep 2017)**

According to relevant regulatory requirements, this ad-hoc update further refined and clarified some of GM tariff charge items' name and definition scope. There is no new market-adjusted pricing item adding and/or existing market-adjusted pricing item increasing. Effective date (same as internal approval date) : 8 September 2017.

#### Update details: (For GM Tariff part)

- 2. Structured Finance, Ordinary Loan and Financing Related Credit Facility and Services other than Syndicated Loan
- 2.3 Financing Plan Design Fee/Modification Fee
- 2.5 Extension Coordination Fee for Structured Financing Loan
- 2.10 Overseas Financing Services Fee for Domestic Enterprises
- 2.11 Domestic or Overseas Loan Financing Services Fee for Overseas Enterprises
- 3.1 Underwriting Fee



## Update Disclaimer (July 2017)

According to NDRC and CBRC HQ Notice regarding cancelling and suspending commercial banks collecting several basic financial service fees, DB China further updated relevant service fees in July 2017. Given this ad-hoc update is mainly covering government regulated price, and there is no new market-adjusted pricing item adding and/or existing market-adjusted pricing item increasing, hence, all updates made this time will become effective on 1 August 2017 as requested by regulators.

### Update details: (For GTB Tariff part)

+ Removed (please refer to the item no. in previous tariff):

- 1.2.2.4.1 Cashier's Order (本票) fee item
- 1.2.2.8.2 lost reporting fee item of Cashier's order 挂失止付 ( 本票 )

+ Revised (please refer to the item no. in revised tariff):

- Waived fee of 1.2.2.4.1 Voucher cost 票据成本费 of Bank Draft 银行汇票
- Waived fee on 1.2.2.4.2 Issuance Fee 手续费 of Bank Draft 银行汇票
- Waived fee on 1.2.2.7.3 Lost of Bank draft 挂失止付 ( 银行汇票 )

This tariff also includes all the updated content from the Service fee annual review exercise, which has been published to customer since 5 June 2017. For those newly added market-adjusted pricing items and/or increased existing market-adjusted pricing items should be public displayed for 3 months to be effective in accordance with regulatory requirements. The effective date is 5 September 2017.